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APPLICATION NUMBER

08698

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/040,036

01/03/2002

Prabir K. Dutta

OSU1159-159A

CONFIRMATION NO. 1840

FORMALITIES LETTER

- 1 Market 1911 III atik 1819 atik 1810 atik 1810

OC000000007692112

STANDLEY & GILCREST LLP 495 METRO PLACE SOUTH SUITE 210 DUBLIN, OH 43017

Date Mailed: 03/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/040,036 Filing Date 01/03/2002 First Named Inventor Dutta et al. Group Art Unit 2832 **Examiner Name** Attorney Docket Number OSU1159-159A

ENCLOSURES (check all that apply)								
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group						
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences						
Amendment / Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition	Proprietary Information						
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter						
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):						
Express Abandonment Request Information Disclosure Statement		Copy of Notice to File Missing Parts of Application; Declaration; Powers of Attorney; postcard filing receip						
Certified Copy of Priority	CD, Number of CD(s)	and check						
Document(s) Response to Missing Parts/ Incomplete Application	Remarks							
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Individual name Roger A. Gilcrest	A							
Date April 2, 2002	<u>V</u>							

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 2, 2002

Typed or printed name Sheri L. Burke, Paralegal

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Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ÎRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

spond to a concentration	ornation diffess it displays a valid OMB control number
C	omplete if Known
Application Number	10/040,036
Filing Date	01/03/2002
First Named Inventor	Dutta et al.
Examiner Name	
Group Art Unit	2832
Attorney Docket No.	OSIII 150 150A

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge		3.ADDITIONAL FEES					
indicated fees and credit any overpayments to: Deposit			Small Er		T		
Account 10 4076	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Number 19-4070 Deposit		130	205	65	Surcharge - late filing fee or oath	65.00	
Account Name		50	227	25	Surcharge - late provisional filing fee or cover sheet		
Charge Any Additional Fee Required		130	139	130	Non-English specification		
Under 37 CFR 1.16 and 1.17		2520	147	2520	For filing a request for ex parte reexamination		
Applicant claims small entity status. See 37 CFR 1.27		920*	112	920*	Requesting publication of SIR prior to Examiner Action		
2. X Payment Enclosed:		1840*	113	1840*	Requesting publication of SIR after Examiner		
Order Other		110	215	55	Action Extension for reply within first month		
FEE CALCULATION	116	390	216	195	Extension for reply within second month		
1. BASIC FILING FEE Large Entity Small Entity	117	890	217	445	Extension for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid	118	1390	218	695	Extension for reply within fourth month		
Code (\$) Code (\$) ree Description Technique	128	1890	228	945	Extension for reply within fifth month		
106 320 206 160 Design filling fee	119	310	219	155	Notice of Appeal		
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal		
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing		
	138	1510	138	1510	Petition to institute a public use proceeding		
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable		
SUBTOTAL (1) (\$) 0.00		1240	241	620	Petition to revive - unintentional		
2. EXTRA CLAIM FEES		1240	242	620	Utility issue fee (or reissue)		
Extra Fee from Fee Paid	143	440	243	220	Design issue fee		
Total Claims -20 ** = x =	144	600	244	300	Plant issue fee		
Independent Claims -3 ** = x =	122	130	122	130	Petitions to the Commissioner		
Multiple Dependent =	123	50	123	50	Petitions related to provisional applications		
** or number previously paid, if greater; For Reissues, see below		180	126	180	Submission of Information Disclosure Stmt.		
Large Entity Small Entity Fee Fee Fee Fee		40	581	40	Recording each patent assignment per property (times number of properties)		
Code (\$) Code (\$) Fee Description 103 18 203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR Section 1.129(a))		
102 80 202 40 Independent claims in excess of 3	149	710	249	355	For each additional invention to be examined (37 CFR Section 1.129(b))		
104 270 204 135 Multiple dependent claims, if not paid	179	710	279	355	Request for Continued Examination (RCE)		
109 80 209 40 ** Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		fee (sr	necify)		application		
Other lee (specify).							
SUBTOTAL (2) (\$)0.00 • Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)65.00							
SUBMITTED BY Complete (if applicable)							
Name (Print/Type) Roger A/Gilcyest) R	Registration No. (Altomey/Agent) 31,954 Telephone 614-792-555				555	
Signature Slyn Mill					Date April 2		

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